

8th PhD Summer School in Discrete Maths  
Finite Permutation Groups  
Lecture 4: The O'Nan–Scott Theorem &  
Applications

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of

## §9: Primitive groups with non-regular socles

# Product action wreath products

## Theorem 20

Let  $G = B \wr A$ , where  $B$  acts on  $\Delta$ , with  $|\Delta| < \infty$ , and  $A$  acts on  $n$ . Then  $G$  acts on  $\Delta^n = \{(\delta_1, \dots, \delta_n) : \delta_i \in \Delta\}$  via

$$\begin{aligned}(\delta_1, \dots, \delta_n)^{(b_1, \dots, b_n)a} &= (\delta_1^{b_1}, \dots, \delta_n^{b_n})^a \\ &= (\delta_{1^{a^{-1}}}^{b_{1^{a^{-1}}}}, \dots, \delta_{n^{a^{-1}}}^{b_{n^{a^{-1}}}})\end{aligned}$$

**Defn:** This action of  $B \wr A$  is the **product action**.

## Theorem 21

Let  $G = B \wr A$ , with the product action.

1.  $G$  is faithful iff the actions of  $A$  and  $B$  are both faithful.
2.  $G$  is primitive iff  $B$  is primitive and **not** regular, and  $A$  is transitive.

## The diagonal action

Let  $T$  be a non-abelian finite simple group, let  $m \geq 2$ .

**Defn:** The **diagonal subgroup**  $D \leq T^m$  is  $\{(t, \dots, t) : t \in T\}$ .

The right coset action of  $T^m$  on  $D \cong T$  gives a permutation representation  $\rho_D : T^m \rightarrow \text{Sym}(T^{m-1})$ .

Denote  $D(t_1, \dots, t_n)$  by  $[t_1, \dots, t_n] = [t_n^{-1}t_1, \dots, t_n^{-1}t_{n-1}, 1]$ .

### Lemma 22

$\rho_D$  is faithful and transitive.

### Theorem 23

Let  $H = T^m \rho_D \leq \text{Sym}(T^{m-1})$ . Let  $N = N_{\text{Sym}(T^{m-1})}(H)$ . Then

- (i) there exists  $W \trianglelefteq N$  with  $W \cong T \wr S_m$ ;
- (ii)  $N/W \cong \text{Aut}(T)/\text{Inn}(T) = \text{Out}(T)$ .

## Primitive groups of diagonal type

**Defn:**  $G \leq \text{Sym}(\Omega)$  is a group of **diagonal type** if there exists a non-abelian simple  $T$ , and an integer  $m \geq 2$ , s.t.

- ▶ up to perm isom,  $G \leq (T \wr S_m).(\text{Aut}(T)/\text{Inn}(T))$ , with  $\Omega = \{[t_1, \dots, t_n] : t_i \in T\}$ ;
- ▶ the base group  $T^m \trianglelefteq G$ .

### Theorem 24

*A group  $G$  of diagonal type is primitive iff either*

- (i)  $m = 2$ ; or
- (ii)  $m \geq 3$  and the conjugation action of  $G$  on the set  $\{T_1, \dots, T_m\}$  of minimal normal subgroups of  $T^m$  is primitive.

## §10: The O’Nan–Scott Theorem

# The O'Nan-Scott Theorem

Let  $G$  be a primitive group of degree  $n$ , with socle  $H$ . Then  $H \cong T^m$  for some simple  $T$  and  $m \geq 1$ . The group  $G$  is perm isom to one of the following groups  $U$ .

1.  $H$  is regular and one of the following happens:
  - 1.1  $T$  is abelian. Then  $H \cong (\mathbb{F}_p^m, +)$ ,  $n = p^m$ ,  $U \leq \text{AGL}_d(p)$ .  
Affine type
  - 1.2  $T$  is non-abelian. Then  $m \geq 6$ . Twisted wreath
2.  $H$  is not regular. Then  $T$  is non-abelian and one of the following happens:
  - 2.1  $H = T$ , so  $m = 1$ , and  $T \trianglelefteq U \leq \text{Aut}(T)$ , with  $U_\alpha$  any maximal subgroup of  $U$ . Almost simple
  - 2.2  $m \geq 2$ ,  $n = |T|^{m-1}$  and  $T^m \trianglelefteq U \leq T^m.(S_m \times \text{Out}(T))$  with the diagonal action. Diagonal type
  - 2.3  $m = rs$  with  $s > 1$ ,  $n = d^s$ , and there exists a primitive group  $K$  of degree  $d$  s.t.  $T^m \trianglelefteq U \leq K \wr S_s$  with the product action. The group  $K$  is almost simple or of diagonal type. Product action type

## An example: $S_8$

Let  $H \leq S_8$ . Then  $H$  can be:

- ▶ intransitive: stabilise a  $k$ -set for  $1 \leq k \leq 3$ ;
- ▶ imprimitive: blocks size 2 or 4;
- ▶  $8 = 2^3$ , so  $G$  can be an affine type subgroup of  $AGL_3(2)$ .
- ▶ Almost simple:  $PSL_2(7)$ ,  $PGL_2(7)$ ,  $A_8$ ,  $S_8$ .

Maximal subgroups			
Order	Index	Structure	G.2
2520	8	$A_7$	: $S_7$
1344	15	$2^3:L_3(2)$	} $2^4:S_4$ , $L_3(2):2$
1344	15	$2^3:L_3(2)$	
720	28	$S_6$	: $S_6 \times 2$
576	35	$2^4:(S_3 \times S_3)$	: $(S_4 \times S_4):2$
360	56	$(A_5 \times 3):2$	: $S_5 \times S_3$



## Application: Classifying primitive permutation groups

Two main tasks

1. Find the irreducible subgroups of  $GL_d(p)$ , for the groups of affine type.
2. Find the almost simple primitive groups.

Theorem 25 (Bray, Holt, CMRD 13)

*Let  $G$  be an almost simple group such that  $Z.G$  has a faithful representation as a subgroup of  $GL_d(q)$  for  $d \leq 12$ , with  $Z$  being mapped to a subgroup of  $Z(GL_d(q))$ . Then the maximal subgroups of  $G$  are known.*

Theorem 26 (CMRD 05; Coutts, Quick & CMRD 11)

*The primitive groups of degree up to 4095 are known.*

## Application: Random generation

Let  $x, y$  be chosen uniformly at random from  $A_n$ . What is the probability that  $H = \langle x, y \rangle = A_n$ ?

- ▶ If  $H \neq A_n$ , then  $\exists M \leq_{\max} A_n$  s.t.  $H \leq M$ .
- ▶  $\mathbb{P}(x, y \in M) = 1/|A_n : M|^2$ .
- ▶ Hence

$$\mathbb{P}(H \neq A_n) \leq \sum_{M \leq_{\max} A_n} \frac{1}{|A_n : M|^2}.$$

Maximal subgroups of  $A_n$ : intransitive, transitive or primitive.

### Theorem 27 (Liebeck 84)

*Let  $G \leq S_n$  be primitive and almost simple. Then either  $|G| < n^9$  or  $G$  is one of two specified families of permutation groups.*

Can also bound the **number** of almost simple subgroups.

### Theorem 28 (Morgan & CMRD '15)

$$1 - \frac{1}{n} - \frac{8.8}{n^2} \leq \mathbb{P}(H = A_n) \leq 1 - \frac{1}{n} - \frac{0.93}{n^2}$$

## §11: Some simple primitive groups

## Some almost simple primitive groups

### Theorem 29

$A_n$  is simple for all  $n \geq 5$ .

### Corollary 30

For  $n \geq 5$ , the action of  $A_n$  or  $S_n$  on the cosets of any maximal subgroup is an almost simple primitive group.

**Defn:**  $SL_d(q) \trianglelefteq GL_d(q)$  is all matrices of determinant 1.

$GL_d(q)$  and  $SL_d(q)$  act faithfully on vectors in  $\mathbb{F}_q^d$ .

- ▶ This action is intransitive:  $0g = 0$  for all  $g \in GL_d(q)$ .

$GL_d(q)$  and  $SL_d(q)$  act transitively on all **nonzero** vectors in  $\mathbb{F}_q^d$ .

- ▶ If  $q > 2$  then this action is imprimitive: let  $v \in \mathbb{F}_q^d \setminus \{0\}$ , and let  $\lambda \in \mathbb{F}_q^*$ . Then  $(\lambda v)g = \lambda(vg)$ , so  $\langle v \rangle$  is a block.

## Introducing $\mathrm{PSL}_d(q)$ and $\mathrm{PGL}_d(q)$

Let  $\Omega = \{\langle v \rangle : v \in \mathbb{F}_q^d \setminus \{0\}\}$  be the system of imprimitivity for this action of  $\mathrm{GL}_d(q)$ .

Then  $\mathrm{GL}_d(q)$  and  $\mathrm{SL}_d(q)$  act on  $\Omega$ .

### Lemma 31

1.  $|\Omega| = (q^d - 1)/(q - 1)$ .
2. *The kernel of the action of  $\mathrm{GL}_d(q)$  is  $\{\lambda I_d : \lambda \in \mathbb{F}_q^*\} = Z(\mathrm{GL}_d(q))$ .*
3. *The action of  $\mathrm{SL}_d(q)$  is 2-transitive, and hence primitive.*

**Defn:**  $\mathrm{PSL}_d(q)$  is the image of  $\mathrm{SL}_d(q)$  repn, so  $\mathrm{PSL}_d(q) \leq \mathrm{Sym}(\Omega)$ .  $\mathrm{PGL}_d(q)$  is image of  $\mathrm{GL}_d(q)$ .

### Theorem 32

*The groups  $\mathrm{PSL}_d(q)$  are simple, **except** for  $\mathrm{PSL}_2(2) \cong S_3$  and  $\mathrm{PSL}_2(3) \cong A_4$ .  $\mathrm{PSL}_d(q) \trianglelefteq \mathrm{PGL}_d(q)$ .*

# Pairwise non-isomorphism

## Theorem 33

The simple groups  $\mathrm{PSL}_d(q)$  are pairwise non-isomorphic, and are not isomorphic to the alternating groups, **except** for

$$\begin{aligned} \mathrm{PSL}_2(4) &\cong \mathrm{PSL}_2(5) \cong A_5, \\ \mathrm{PSL}_2(7) &\cong \mathrm{PSL}_3(2), \\ \mathrm{PSL}_2(9) &\cong A_6, \quad \mathrm{PSL}_4(2) \cong A_8 \end{aligned} .$$

## Lemma 34

Let  $(a, b)$  denote the greatest common divisor of  $a$  and  $b$ . Then

$$|\mathrm{PSL}_d(q)| = \frac{1}{(q-1, d)} q^{d(d-1)/2} \prod_{i=2}^d (q^i - 1).$$

## A faithful linear representation of $\mathrm{PSL}_2(7)$

**Defn:** Let  $G \leq S_n$ . Then there is a faithful **linear** representation of  $G$  via **permutation matrices**; i.e. a homom  $\rho_p : G \rightarrow \mathrm{GL}_n(q)$ ,  $g \mapsto m$ , where:

1.  $m_{ij} \in \{0, 1\}$  for all  $i, j$ ;
2.  $m_{ij} = 1 \Leftrightarrow i^g = j$ .

$$\mathrm{SL}_2(7) = \langle a := \begin{pmatrix} 3 & 0 \\ 0 & 5 \end{pmatrix}, b := \begin{pmatrix} 6 & 1 \\ 6 & 0 \end{pmatrix} \rangle.$$

Label the 1-dim subspaces of  $\mathbb{F}_7^2$  by

$$\infty = \langle (0, 1) \rangle, i = \langle (1, i) \rangle \text{ for } 0 \leq i \leq 6.$$

$\mathrm{SL}_2(7)$  acts on  $\Omega = \{\infty, 0, \dots, 7\}$ .

$$\mathrm{PSL}_2(7) = \langle \bar{a} = (1\ 4\ 2)(3\ 5\ 6), \bar{b} = (\infty\ 6\ 0)(1\ 3\ 5) \rangle \leq \mathrm{Sym}(\Omega).$$

$v_\infty, v_0, v_1, \dots, v_6$  – standard basis of  $V = \mathbb{F}_2^8$ .

Let  $\rho_p : \mathrm{SL}_2(7) \rightarrow \mathrm{GL}_8(2)$  be rep via permutation matrices, then  $\mathrm{Im}(\rho_p) = \mathrm{PSL}_2(7)$ .

$$\mathrm{PSL}_2(7) \cong \mathrm{PSL}_3(2)$$

Let  $v = (1, 1, \dots, 1) \in V = \mathbb{F}_2^8$ .

Let  $U = \langle v, u_1 = \sum_{i=\infty,0,1,3} v_i, u_2 = \sum_{i=\infty,1,2,4} v_i, u_3 = \sum_{i=\infty,2,3,5} v_i \rangle \leq V$ .

### Lemma 35

$v^{\mathrm{PSL}_2(7)} = v$  and  $U^{\mathrm{PSL}_2(7)} = U$ .

### Proof.

Use  $\mathrm{PSL}_2(7) = \langle (1\ 4\ 2)(3\ 5\ 6), (\infty\ 6\ 0)(1\ 3\ 5) \rangle$ . □

- ▶ So  $\mathrm{PSL}_2(7)$  acts on  $U/\langle v \rangle = \{u + \langle v \rangle : u \in U\}$ , dimension 3.
- ▶  $\mathrm{PSL}_2(7)$  is simple and action is nontrivial so action is **faithful**.
- ▶ So  $\mathrm{PSL}_2(7) \leq \mathrm{GL}_3(2) \cong \mathrm{PSL}_3(2)$ .
- ▶ But  $|\mathrm{PSL}_2(7)| = 168 = |\mathrm{PSL}_3(2)|$ .
- ▶ So  $\mathrm{PSL}_2(7) \cong \mathrm{PSL}_3(2)$ .