# Self-dual codes from orbit matrices and quotient matrices of combinatorial designs

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Graphs, groups, and more: celebrating Brian Alspach's 80th and Dragan Marušič's 65th birthdays, Koper, Slovenia



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  - Codes from symmetric block designs and SGDDs

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## Codes

## **Definition 1**

Let *p* be a prime power. A *p*-ary linear code *C* of **length** *n* and **dimension** *k* is a *k*-dimensional subspace of the vector space  $(\mathbb{F}_p)^n$ .

• Notation:  $[n, k]_p$  code or [n, k] code

# **Definition 2**

A generating matrix of a linear [n, k] code is a  $k \times n$  matrix whose rows are the basis vectors of the code.

## Self-dual codes

# **Definition 3**

Let  $C \subseteq \mathbb{F}_p^n$  be a linear code. Its dual code is the code  $C^{\perp} = \{x \in \mathbb{F}_p^n | x \cdot c = 0, \forall c \in C\}$ , where  $\cdot$  is the standard inner product. The code *C* is called self-orthogonal if  $C \subseteq C^{\perp}$ , and *C* is called self-dual if  $C = C^{\perp}$ .

# **Proposition 4**

Let *G* be a generating matrix of a linear [n, k, d] code *C*.

**1** *C* is self-orthogonal 
$$\Leftrightarrow GG^T = 0$$
.

2 *C* is **self-dual** 
$$\Leftrightarrow$$
 it is self-orthogonal and  $k = \frac{n}{2}$ .

## Self-dual codes

## **Definition 5**

We may use a symmetric nonsingular matrix U over the field  $\mathbb{F}_p$  to define a scalar product  $\langle \cdot, \cdot \rangle_U$  for row vectors in  $\mathbb{F}_p^n$ :  $\langle a, c \rangle_U = aUc^T$ . The *U*-dual code of a linear code *C* is the code

$$C^{U} = \{ \boldsymbol{a} \in \mathbb{F}_{p}^{n} \mid \langle \boldsymbol{a}, \boldsymbol{c} \rangle_{U} = \boldsymbol{0}, \ \forall \boldsymbol{c} \in \boldsymbol{C} \}.$$

A code *C* is called self-*U*-dual, or self-dual with respect to *U*, if  $C = C^{U}$ .

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#### **Block designs**

# **Definition 6**

A **block design** or a  $2 - (v, k, \lambda)$  **design** is a finite incidence structure  $\mathcal{D} = (\mathcal{P}, \mathcal{B}, \mathcal{I})$  such that  $|\mathcal{P}| = v$ , each block is incident with exactly k points and each pair of points is incident with exactly  $\lambda$  blocks. If v = b, we say that a block design is **symmetric**.

## Orbit matrices of block designs

- Let D = (P, B, I) be a 2-(v, k, λ) design and let G ≤ Aut(D).
- Denote with P<sub>1</sub>,..., P<sub>n</sub> G-orbits of points, and with B<sub>1</sub>,..., B<sub>m</sub> G-orbits of blocks and let |P<sub>i</sub>| = ω<sub>i</sub>, |B<sub>j</sub>| = Ω<sub>j</sub>, 1 ≤ i ≤ n, 1 ≤ j ≤ m.
- For  $x \in \mathcal{B}$  and  $Q \in \mathcal{P}$  we introduce the notation:  $\langle x \rangle = \{ R \in \mathcal{P} | (R, x) \in I \}, \ \langle Q \rangle = \{ y \in \mathcal{B} | (Q, y) \in I \}.$
- Let  $Q \in P_i$ ,  $x \in B_j$ . We will denote:

$$\Gamma_{ij} = |\langle Q \rangle \cap B_j|, \quad \gamma_{ij} = |\langle x \rangle \cap P_i|.$$

It holds: 
$$\sum_{j=1}^{m} \Gamma_{ij} = r, \ \forall i \in \{1, ..., n\}, \qquad \sum_{i=1}^{n} \gamma_{ij} = k, \ \forall j \in \{1, ..., m\}.$$

#### **Definition 7**

Matrices  $S = [\Gamma_{ij}]$  and  $R = [\gamma_{ij}]$  are called point and block orbit matrix of the design D induced by the action of the group *G*.

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## Lemma 8

Let  $\mathcal{D} = (\mathcal{P}, \mathcal{B}, \mathcal{I})$  be a block design,  $G \leq Aut(\mathcal{D})$ , and let  $\omega_i, \Omega_j, \gamma_{ij}, \Gamma_{ij}$  be defined as before. The following equations hold:

a) 
$$\Omega_j \gamma_{ij} = \omega_i \Gamma_{ij};$$
  
b)  $\sum_{j=1}^m \Gamma_{ij} \gamma_{sj} = \lambda \omega_s + \delta_{is} \cdot (r - \lambda), \ i, s \in \{1, ..., n\}.$ 

#### **Proposition 9**

Let  $\mathcal{D} = (\mathcal{P}, \mathcal{B}, \mathcal{I})$  be a block design,  $G \leq Aut(\mathcal{D})$ , and let  $\omega_i, \Omega_j, \gamma_{ij}, \Gamma_{ij}$  be defined as before. The following equations hold:

1 
$$\sum_{i=1}^{n} \gamma_{ij} = k;$$
  
2  $\sum_{j=1}^{m} \frac{\Omega_j}{\omega_i} \gamma_{ij} \gamma_{sj} = \lambda \omega_s + \delta_{is} \cdot (r - \lambda).$ 

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## SGDD

#### **Definition 10**

A (group) divisible design (GDD) with parameters (v, b, r, k,  $\lambda_1$ ,  $\lambda_2$ , m, n) is an incidence structure with v points, b blocks and constant block size k in which every point appears in exactly r blocks and whose point set can be partitioned into m classes of size n, such that:

- two points from the same class appear together in exactly λ<sub>1</sub> blocks,
- two points from different classes appear together in exactly  $\lambda_2$  blocks.

For the parameters of a GDD it holds:

$$v = mn$$
,  $bk = vr$ ,  $(n-1)\lambda_1 + n(m-1)\lambda_2 = r(k-1)$ ,  $rk \ge v\lambda_2$ .

## SGDD

# **Definition 11**

A GDD is called a symmetric GDD (SGDD) if v = b (or, equivalently,

r = k). It is then denoted by  $D(v, k, \lambda_1, \lambda_2, m, n)$ .

# **Definition 12**

A SGDD D is said to have the dual property if the dual of D is again a divisible design with the same parameters as D.

## Quotient matrices of SGDDs with the dual property

The point and the block partition from the definition of a SGDD with the dual property give us a canonical partition of the incidence matrix:

$$N = \begin{bmatrix} A_{11} & \cdots & A_{1m} \\ \vdots & \ddots & \vdots \\ A_{m1} & \cdots & A_{mm} \end{bmatrix}, \text{ where } A_{ij}\text{'s are square submatrices of order } n.$$

$$\Rightarrow NN^{T} = \begin{bmatrix} B_{11} & \cdots & B_{1m} \\ \vdots & \ddots & \vdots \\ B_{m1} & \cdots & B_{mm} \end{bmatrix}, B_{ij} = [(k - \lambda_1)I_n + (\lambda_1 - \lambda_2)J_n]\delta_{ij} + \lambda_2 J_n$$

## Quotient matrices of SGDDs with the dual property

### Remark 1

Each block  $A_{ij}$  has constant row (and block) sum.

#### **Definition 13**

We say that an  $m \times m$  matrix  $R = [r_{ij}]$  is a quotient matrix of a SGDD with the dual property if every element  $r_{ij}$  is equal to the row sum of the block  $A_{ij}$  of the above canonical partition.

It holds: 
$$RR^T = (k^2 - v\lambda_2)I_m + n\lambda_2J_m$$
.

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Wilson describes the following result of Blokhuis and Calderbank:

#### Theorem 4.1

Let  $\mathcal{D}$  be a 2-( $v, k, \lambda$ ) design and p an odd prime which exactly divides  $r - \lambda$ (that is  $p|(r - \lambda)$ , but  $p^2 \nmid (r - \lambda)$ ). Suppose that  $|S \cap T| \equiv k \pmod{p}$  for every two blocks S and T of the design and that v is odd. Then:

- 1 if  $k \neq 0 \pmod{p}$ , then there exists a self-dual p-ary code of length v + 1with respect to U = diag(1, ..., 1, -k);
- 2 if  $k \equiv 0 \pmod{p}$ , then there exists a self-dual p-ary code of length v + 1with respect to U' = diag(1, ..., 1, -v).

#### Sketch of the proof:

Let *N* be a  $v \times b$  incidence matrix for  $\mathcal{D}$ .

$$M = \begin{bmatrix} & & & & 1 \\ & N^T & & \vdots \\ & 1 \end{bmatrix} , M' = \begin{bmatrix} & & 0 \\ N^T & \vdots \\ & & 0 \\ \hline 1 & \cdots & 1 & 1 \end{bmatrix}.$$

#### Theorem 4.2 (Crnković, Mostarac)

Let  $\mathcal{D}$  be a 2-( $v, k, \lambda$ ) design,  $G \leq Aut(\mathcal{D})$ , and let  $\omega_i, \Omega_j, \gamma_{ij}, \Gamma_{ij}$  be defined as before. Let p be a prime such that  $p|(r - \lambda)$ , and  $p \nmid \Omega_1, ..., \Omega_m, \omega_1, ..., \omega_n$ . Then the following holds:

- if p ∤ λ then there exists a self-orthogonal p-ary code of length m + 1 with respect to U = diag(Ω<sub>1</sub>,...,Ω<sub>m</sub>, −λ);
- if p|λ and p ∤ b then there exists a self-orthogonal p-ary code of length m + 1 with respect to V = diag(Ω<sub>1</sub>,...,Ω<sub>m</sub>, -b).

#### Sketch of the proof:

Let *R* be a block orbit matrix for  $\mathcal{D}$  induced by the action of *G*.

$$M = \begin{bmatrix} & & & \omega_1 \\ & & \omega_2 \\ & & \vdots \\ & & \omega_n \end{bmatrix} \text{ and } M' = \begin{bmatrix} & & & 0 \\ & R & & \vdots \\ & & & 0 \\ \hline & 1 & \cdots & 1 & 1 \end{bmatrix} \dots$$

#### Self-orthogonal codes from orbit matrices of block designs

## Theorem 4.3 (Crnković, Mostarac)

Let  $\mathcal{D}$  be a 2-( $v, k, \lambda$ ) design which admits an automorphism group G acting on  $\mathcal{D}$  with all orbits of the same size w, and let R be an orbit matrix induced by the action of the group G on the design  $\mathcal{D}$ . If all the block intersection numbers of the design (including k) are divisible by p, where p is a prime, then the matrix  $R^T$  spans a self-orthogonal code of length  $\frac{v}{w}$  over  $\mathbb{F}_p$ .

#### Theorem 4.4 (Crnković, Mostarac)

Let  $\mathcal{D}$  be a 2-(v, k,  $\lambda$ ) design which admits an automorphism group G acting on  $\mathcal{D}$  with all orbits of the same length q, and let R be an orbit matrix induced by the action of the group G on  $\mathcal{D}$ . Let p be a prime such that  $p|(r - \lambda)$  but  $p^2 \nmid (r - \lambda)$ , and  $p \nmid q$ . If the number of point orbits n is odd, and all the block intersection numbers of  $\mathcal{D}$  (including k) are congruent modulo p, then:

- if p ∤ k then there exists a self-dual p-ary code of length n + 1 with respect to U = diag(q,...,q,-k);
- 2 if p|k then there exists a self-dual p-ary code of length n + 1 with respect to V = diag(1, ..., 1, -n).

#### Sketch of the proof:

$$M = \begin{bmatrix} & & & | & q \\ & & R^T & & \vdots \\ & & & q \end{bmatrix} \text{ and } M' = \begin{bmatrix} & & 0 \\ & & R^T & & \vdots \\ & & & 0 \\ \hline 1 & \cdots & 1 & 1 \end{bmatrix}$$

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# Codes from symmetric block designs

• Assmus, Mezzaroba and Salwach used incidence matrices of symmetric designs to obtain **self-dual codes**.

#### Theorem 4.5 (E. F. Assmus, Jr., J. A. Mezzaroba, C. J. Salwach)

Let p be a prime and  $\mathcal{D}$  a symmetric  $(v, k, \lambda)$ -design with an incidence matrix M.

- **1** If p|k and  $p|\lambda$ , then the rows of M span a **self-orthogonal** code over  $\mathbb{F}_p$ .
- 2 Let  $p|(k \lambda)$  and  $p \nmid k$ , and let a  $v \times (v + 1)$  matrix G be defined as follows:

$$G = \begin{bmatrix} \sqrt{-k} & & \\ \vdots & & \\ \sqrt{-k} & & \end{bmatrix}$$

If -k is a quadratic residue mod p let  $\mathbb{F} = \mathbb{F}_p$ , if not let  $\mathbb{F} = \mathbb{F}_{p^2}$ . Then the rows of *G* span a self-orthogonal code over  $\mathbb{F}$ , and if  $p^2 \nmid (k - \lambda)$  the code is self-dual.

- 3 If  $p|\lambda$  and p|(k+1), then the rows of a  $v \times 2v$  matrix G span a **self-dual** [2v, v]code over  $\mathbb{F}_p$ , where  $G = \begin{bmatrix} I & M \end{bmatrix}$ .
- 4 If p = 2,  $\lambda$  is odd, and k even, then the rows of a  $(v + 1) \times (2v + 2)$  matrix G span a self-dual [2v + 2, v + 1] code over  $\mathbb{F}_2$ , where G is defined as:

$$G = \begin{bmatrix} 0 & 1 & \cdots & 1 \\ 1 & & & \\ & \ddots & & \\ & & M & \\ & & 1 \end{bmatrix}.$$
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# Codes from symmetric designs

- Instead of using incidence matrices of symmetric designs we will use orbit matrices of symmetric designs to obtain self-dual codes.
- We will assume an automorphism group of a symmetric design that acts on the set of points and on the set of blocks with all the orbits of the same lenght.

#### Theorem 4.6 (Crnković, Mostarac)

Let  $\mathcal{D}$  be a symmetric  $(v, k, \lambda)$ -design which admits the automorphism group G that acts on the set of points and on the set of blocks with  $t = \frac{v}{\Omega}$  orbits of length  $\Omega$ . Let R be the **orbit matrix** of the design  $\mathcal{D}$  induced by the action of the group G, and p a prime.

- If p|k and p|λ, then the rows of R span a self-orthogonal code of length t over F<sub>p</sub>.
- **2** Let  $p|(k \lambda)$ ,  $p \nmid k\Omega$ , and let a  $t \times (t + 1)$  matrix G be defined as:

$$G = \begin{bmatrix} \sqrt{-K\Omega} & & \\ \vdots & & \\ \sqrt{-K\Omega} & & \end{bmatrix}$$

If  $-k\Omega$  is a quadratic residue modulo p, then let  $\mathbb{F} = \mathbb{F}_p$ , otherwise let  $\mathbb{F} = \mathbb{F}_{p^2}$ . Then the rows of G span a **self-orthogonal** code over  $\mathbb{F}$ . Furthermore, if  $p^2 \nmid (k - \lambda)$ , this code is a self-dual  $[t + 1, \frac{t+1}{2}]$  code.

## Codes from symmetric designs

## Theorem 4.6 continued.

- **3** If  $p|\lambda$  and p|(k + 1), then the rows of a  $t \times 2t$  matrix  $G = \begin{bmatrix} I & R \end{bmatrix} G$  span a self-dual [2t, t] code over  $\mathbb{F}_p$ .
- 4 If p = 2, λ is odd, k is even, and Ω odd, then the rows of a (t + 1) × (2t + 2) matrix G span a self-dual [2t + 2, t + 1] code over F<sub>2</sub>, where G is defined as:

$$G = \begin{bmatrix} & 0 & 1 & \cdots & 1 \\ & 1 & & & \\ & I & \vdots & R & \\ & & 1 & & & \end{bmatrix}$$

# Codes from SGDDs with the dual property

• We will also use **quotient matrices** of SGDDs with the dual property to obtain **self-dual codes**.

#### Theorem 4.7 (Crnković, Mostarac)

Let  $D = (v, k, \lambda_1, \lambda_2, m, n)$  be a SGDD with the dual property, with the **quotient matrix** *R*, and let *p* be a prime.

- If p | (k<sup>2</sup> vλ<sub>2</sub>) and p | nλ<sub>2</sub>, then the rows of R span a self-orthogonal code of lenght m over F<sub>p</sub>.
- 2 Let  $p|(k^2 v\lambda_2)$ ,  $p \nmid n\lambda_2$ , and let an  $m \times (m + 1)$  matrix G be equal to:

$$G = \begin{bmatrix} \sqrt{-n\lambda_2} & & \\ \vdots & R & \\ \sqrt{-n\lambda_2} & & \end{bmatrix}$$

If  $-n\lambda_2$  is a quadratic residue modulo p, then let  $\mathbb{F} = \mathbb{F}_p$ , otherwise let  $\mathbb{F} = \mathbb{F}_{p^2}$ . Then the rows of G span a **self-orthogonal** code over  $\mathbb{F}$ . Furthermore, if  $p^2 \nmid (k^2 - v\lambda_2)$  and  $p \nmid k$ , then this code is a self-dual  $[m + 1, \frac{m+1}{2}]$  code.

## Codes from SGDDs with the dual property

#### Theorem 4.7 continued.

- **3** If  $p|n\lambda_2$  and  $p|(k^2 + 1)$ , then the rows of an  $m \times 2m$  matrix *G* span a self-dual [2m, m] code over  $\mathbb{F}_p$ , where  $G = \begin{bmatrix} I & R \end{bmatrix}$ .
- 4 If p = 2, k is even, and m, n and  $\lambda_2$  are odd, then the rows of an  $(m+1) \times (2m+2)$  matrix G span a self-dual [2m+2, m+1] code over  $\mathbb{F}_2$ , where G is defined as:

$$G = \begin{bmatrix} & 0 & 1 & \cdots & 1 \\ & 1 & & & \\ & I & \vdots & R & \\ & & 1 & & & \end{bmatrix}$$

# Thank you for your attention! ;)