

# Eigenspace embeddings of imprimitive association schemes

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June 26, 2025

## Relation schemes

- ▶ Let  $X$  be a set of  $n$  vertices and  $\mathcal{R} = \{R_i \mid i \in \mathcal{I}\}$  a set of symmetric relations partitioning  $X^2$  such that  $R_0 = \text{id}_X \in \mathcal{R}$ .
- ▶  $\mathcal{A} = (X, \mathcal{R})$  is said to be a  $d$ -class relation scheme if  $|\mathcal{I}| = d + 1$ .
- ▶ We define the relation graphs  $\Gamma_i = (X, R_i)$  ( $i \in \mathcal{I}$ ) and denote their adjacency matrices by  $A_i \in \{0, 1\}^{X \times X}$ .
- ▶ Note that for a subset  $Y \subseteq X$ , the induced subscheme  $\mathcal{A}|_Y = (Y, \mathcal{R}|_Y)$  is again a relation scheme.

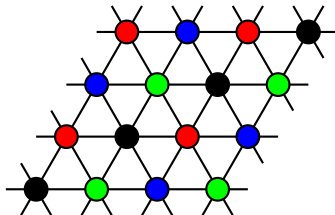
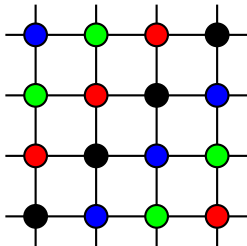
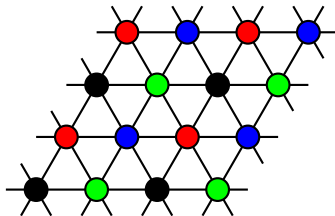
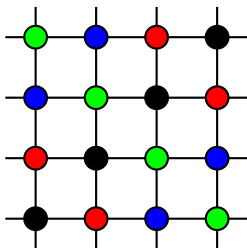
# Association schemes

- ▶ Let  $\mathcal{A} = (X, \mathcal{R})$  be a  $d$ -class relation scheme.
- ▶  $\mathcal{A}$  is said to be a  *$d$ -class association scheme* if there exist numbers  $p_{ij}^h$  ( $h, i, j \in \mathcal{I}$ ) such that, for all  $x, y \in X$ ,

$$x R_h y \Rightarrow |\{z \in X \mid x R_i z R_j y\}| = p_{ij}^h$$

- ▶ We call the numbers  $p_{ij}^h$  ( $h, i, j \in \mathcal{I}$ ) the *intersection numbers*.
- ▶ The values  $k_i = p_{ii}^0$  ( $i \in \mathcal{I}$ ) are called the *valencies* of the relations of  $\mathcal{A}$ .

# Examples



# Bose-Mesner algebra

- ▶ The adjacency matrices of  $\mathcal{A}$  can be diagonalized simultaneously and they share  $d + 1$  eigenspaces  $\{S_j \mid j \in \mathcal{J}\}$  with multiplicities  $m_j$  ( $j \in \mathcal{J}$ ).
- ▶ Let  $P \in \mathbb{R}^{\mathcal{J} \times \mathcal{I}}$  be a matrix with  $P_{ji}$  being the eigenvalue of  $A_i$  corresponding to the eigenspace  $S_j$ .
- ▶ Let  $Q \in \mathbb{R}^{\mathcal{I} \times \mathcal{J}}$  be such that  $PQ = nI$ .
- ▶ We call  $P$  the *eigenmatrix*, and  $Q$  the *dual eigenmatrix*.
- ▶ The matrices  $\{A_i \mid i \in \mathcal{I}\}$  are the basis of the *Bose-Mesner algebra*  $\mathcal{M}$ , which has a second basis  $\{E_j \mid j \in \mathcal{J}\}$  of minimal idempotents for each eigenspace.

# Krein parameters

- ▶ In the Bose-Mesner algebra  $\mathcal{M}$ , the following relations are satisfied:

$$A_i = \sum_{j \in \mathcal{I}} P_{ji} E_j \quad \text{and} \quad E_j = \frac{1}{n} \sum_{i \in \mathcal{I}} Q_{ij} A_i.$$

- ▶ We also have

$$A_i A_j = \sum_{h \in \mathcal{I}} p_{ij}^h A_h \quad \text{and} \quad E_i \circ E_j = \frac{1}{n} \sum_{h \in \mathcal{I}} q_{ij}^h E_h,$$

where  $\circ$  is the entrywise matrix product.

- ▶ The numbers  $q_{ij}^h$  are called the *Krein parameters* and are nonnegative algebraic real numbers.
- ▶ Each of the parameter sets  $p_{ij}^h$ ,  $P$ ,  $Q$  and  $q_{ij}^h$  determines the others.

# Imprimitivity

- ▶ Let  $\tilde{\mathcal{O}} \subseteq \mathcal{I}$  be such that  $R_{\tilde{\mathcal{O}}} := \bigcup_{i \in \tilde{\mathcal{O}}} R_i$  is an equivalence relation, and let  $\tilde{X} = \{X_\ell \mid 1 \leq \ell \leq \tilde{n}\}$  be its equivalence classes.
- ▶ Then  $|X_\ell| = \bar{n}$  ( $1 \leq \ell \leq \tilde{n}$ ) such that  $n = \bar{n} \cdot \tilde{n}$ , and the subschemes  $\mathcal{A}|_{X_\ell}$  ( $1 \leq \ell \leq \tilde{n}$ ) are association schemes with parameters determined by those of  $\mathcal{A}$ .
- ▶ This relation induces an equivalence relation on  $\mathcal{I}$  whose equivalence classes index the relations of the quotient scheme  $\mathcal{A}/\tilde{\mathcal{O}} = (\tilde{X}, \{\tilde{R}_i \mid i \in \mathcal{I}\})$ , whose parameters are also determined by those of  $\mathcal{A}$ .
- ▶  $\mathcal{A}$  is called *imprimitive* if there is such a nontrivial imprimitivity set  $\tilde{\mathcal{O}}$  with  $2 \leq |\tilde{\mathcal{O}}| \leq d$ .

# Quotient-polynomial graphs (QPGs)

## Definition ([Fio16])

An undirected graph  $\Gamma = (X, R)$  with adjacency matrix  $A$  is *quotient-polynomial* if  $\langle A \rangle$  is the Bose-Mesner algebra of an association scheme  $\mathcal{A} = (X, \mathcal{R})$ .

- ▶  $\Gamma$  is a *relational QPG* if  $R \in \mathcal{R}$ .
- ▶ The parameters of a relational QPG are determined by its *parameter array* [HM24]

$$[[k_1, k_2, \dots, k_d], \\ [p_{11}^2, p_{11}^3, \dots, p_{11}^d; p_{12}^3, p_{12}^4, \dots, p_{12}^d; \dots; p_{1,d-2}^{d-1}, p_{1,d-2}^d; p_{1,d-1}^d]].$$

- ▶ Special case of QPGs: distance-regular graphs.



## Tables of feasible parameter arrays

- ▶ Recently, [tables of parameter arrays for relational QPGs](#) have been published [HM23a].
- ▶ Each parameter array is marked as
  - ▶ [existent](#), if a corresponding association scheme has been [constructed](#),
  - ▶ [nonexistent](#), if some [existence condition](#) is [not met](#), or
  - ▶ [feasible](#), if [no construction has been found](#).
- ▶ Using [sage-drg](#) [Vid19], we check for many more [existence conditions](#) and show [nonexistence](#) for many cases marked as feasible.
- ▶ In some cases, we find [constructions](#) as [products](#) of smaller association schemes.
- ▶ We will consider cases that remain [feasible](#) after performing the above checks.

# Spherical representations

- ▶ Let  $S_j$  be an eigenspace of an association scheme  $\mathcal{A} = (X, \mathcal{R} = \{R_i \mid i \in \mathcal{I}\})$ .
- ▶ The vectors  $u_x = \sqrt{\frac{n}{m_j}} E_j \mathbb{1}_x$  ( $x \in X$ ) are unit vectors such that

$$(x, y) \in R_i \Rightarrow \langle u_x, u_y \rangle = \frac{Q_{ij}}{m_j}.$$

- ▶ The map  $x \mapsto u_x$  is called a spherical representation of  $\mathcal{A}$  in  $S_j$ .

# Embeddings of subschemes

- ▶ Let  $\mathcal{A}' = (Y, \mathcal{R}' = \{R'_i \mid i \in \mathcal{I}'\})$  be a relation scheme such that  $Y \subseteq X$  and  $\mathcal{I}' \subseteq \mathcal{I}$ .
- ▶  $\mathcal{A}'$  admits an embedding into  $S_j$  if there exist unit vectors  $u'_x \in S_j$  ( $x \in Y$ ) such that

$$(x, y) \in R'_i \Rightarrow \langle u'_x, u'_y \rangle = \frac{Q_{ij}}{m_j}.$$

- ▶ Clearly, a relation scheme isomorphic to  $\mathcal{A}|_Y$  admits an embedding into  $S_j$ .
- ▶ Conversely, if  $\mathcal{A}'$  does not admit an embedding into  $S_j$ , then  $\mathcal{A}'$  is not isomorphic to a subscheme of  $\mathcal{A}$ .

# Finding embeddings

- ▶ Let  $U \in \mathbb{R}^{Y \times m_j}$  be a matrix whose rows contain coefficients of the vectors  $u'_x$  w.r.t. an orthonormal basis of  $S_j$ .
- ▶ We may attempt to build  $U$  incrementally and thus obtain a matrix in reduced column echelon form.
- ▶ If  $Q \in \mathbb{F}^{\mathcal{I} \times \mathcal{J}}$  for some field  $\mathbb{F} \subseteq \mathbb{R}$ , then the  $h$ -th column of  $U$  has entries from  $\mathbb{F}\sqrt{\beta_h}$  for some  $\beta_h \in \mathbb{F}$  with  $\beta_h > 0$ .

# Embeddings of imprimitive association schemes

- ▶ Let  $\mathcal{A}$  be imprimitive with imprimitivity set  $\tilde{0}$ , and let  $\{X_\ell \mid 1 \leq \ell \leq \tilde{n}\}$  be the equivalence classes of  $R_{\tilde{0}}$ .
- ▶ If the subschemes  $\mathcal{A}|_{X_\ell}$  ( $1 \leq \ell \leq \tilde{n}$ ) are characterized by their parameters, this gives us their embeddings into eigenspaces of  $\mathcal{A}$ .
- ▶ We may attempt to find candidate subschemes on a small number of  $X_\ell$  ( $1 \leq \ell \leq \tilde{n}$ ) such that they admit embeddings into eigenspaces of  $\mathcal{A}$ .
- ▶ If there are no candidates for an induced subscheme on a subset of  $X$ , then  $\mathcal{A}$  does not exist.
- ▶ On the other hand, if we find an embedding such that  $U$  has full column rank, then we may consider all candidates vectors for the remaining vertices of  $\mathcal{A}$ .

# Results

Using such a technique, we derive the following results.

- ▶ Nonexistence for two parameter sets of 4-class association schemes and one parameter set of 5-class association schemes.
- ▶ Uniqueness for two parameter sets of 5-class association schemes.

# QPG with parameter array $[[12, 4, 4, 24], [6, 0, 3; 0, 1; 2]]$

- ▶  $\mathcal{A}$  has 45 vertices  
 and is imprimitive with imprimitivity set  $\{0, 2, 3\}$ .
- ▶ The five subschemes  
 are isomorphic to the Hamming scheme  $H(2, 3)$ .
- ▶ The graphs  $\Gamma_1|_{X_\ell \cup X_{\ell'}}$  are isomorphic to  $3K_{3,3}$ .
- ▶ There are six nonisomorphic candidates for  $\mathcal{A}|_{X_1 \cup X_2 \cup X_3}$ ,  
 but only one admits an embedding into  $S_1$ .
- ▶ The resulting matrix of coefficients has full column rank,  
 but no further vectors can be found among 216 candidates.
- ▶ We therefore conclude that  $\mathcal{A}$  does not exist.

## QPG with parameter array $[[8, 8, 4, 24], [1, 0, 2; 2, 1; 1]]$

- ▶  $\mathcal{A}$  has 45 vertices, is imprimitive with imprimitivity set  $\{0, 3\}$  and has noncyclotomic eigenvalues [HM23b].
- ▶ The nine subschemes are 5-cliques.
- ▶ The graph  $\Gamma_1$  is triangle-free, while  $\Gamma_2$  does have triangles; their restriction to two subschemes are matchings.
- ▶ We find 6665 nonisomorphic candidates for  $\mathcal{A}|_{X_1 \cup X_2 \cup X_3}$ , of which 100 admit an embedding into  $S_1$ .
- ▶ The resulting matrices of coefficients have full column rank, but no further vectors can be found among  $100 \cdot 8000$  candidates.
- ▶ We therefore conclude that  $\mathcal{A}$  does not exist.



# QPG with array $[[6, 18, 2, 6, 12], [1, 0, 2, 0; 0, 0, 3; 0, 1; 2]]$

- ▶  $\mathcal{A}$  has 45 vertices and is imprimitive with  $\tilde{0} = \{0, 3, 4\}$ ,  $\tilde{1} = \{1, 5\}$ ,  $\tilde{2} = \{2\}$ .
- ▶ The five subschemes are isomorphic to the scheme of  $K_{3 \times 3}$ , and the quotient scheme is the cyclic scheme  $C_5$ .
- ▶ The graph  $\Gamma_1|_{X_1 \cup X_2}$  such that  $(X_1, X_2) \in \tilde{R}_1$  is a bipartite cubic graph whose distance-2 graph is 3-colorable.
- ▶ We find 18 such graphs, of which 7 corresponding schemes admit an embedding into  $S_1$ .
- ▶ However, none of the extensions to  $\mathcal{A}|_{X_1 \cup X_2 \cup X_3}$  with  $(X_1, X_3), (X_2, X_3) \in \tilde{R}_2$  admits an embedding into  $S_1$ .
- ▶ We therefore conclude that  $\mathcal{A}$  does not exist.

# QPG with array $[[12, 2, 1, 12, 12], [6, 0, 4, 1; 0, 0, 1; 0, 1; 4]]$

- ▶  $\mathcal{A}$  has 40 vertices and is imprimitive with  $\tilde{0} = \{0, 2, 3\}$ ,  $\tilde{1} = \{1, 5\}$ ,  $\tilde{4} = \{4\}$ .
- ▶ The five subschemes are isomorphic to the cyclic scheme  $C_4$ , and the quotient scheme is the Johnson scheme  $J(5, 2)$ .
- ▶ The graph  $\Gamma_1|_{X_1 \cup X_2}$  such that  $(X_1, X_2) \in \tilde{R}_1$  must be isomorphic to  $C_8$  or  $2C_4$ .
- ▶ The extension to  $\mathcal{A}|_{X_1 \cup X_2 \cup X_3}$  with  $(X_1, X_3), (X_2, X_3) \in \tilde{R}_4$  admits an embedding into  $S_1$  only if  $\Gamma_1|_{X_1 \cup X_2} \cong 2C_4$ .
- ▶ The resulting matrix of coefficients has full column rank, and we find 28 more vectors among 112 candidates.

# Construction

- ▶ The resulting vectors form an **association scheme** with the **parameters of  $\mathcal{A}$** .
- ▶ We therefore conclude that there is, **up to isomorphism**, **precisely one such association scheme**.
- ▶ A **nicer construction** is given by the vectors  $\frac{\sqrt{2}}{2}(\pm e_i \pm e_j)$  ( $1 \leq i < j \leq 5$ ) with
  - ▶  $(u, v) \in R_1 \Leftrightarrow \langle u, v \rangle = \frac{1}{2},$
  - ▶  $(u, v) \in R_2 \Leftrightarrow \langle u, v \rangle = 0 \wedge \text{supp}(u) = \text{supp}(v),$
  - ▶  $(u, v) \in R_3 \Leftrightarrow \langle u, v \rangle = -1,$
  - ▶  $(u, v) \in R_4 \Leftrightarrow \langle u, v \rangle = 0 \wedge \text{supp}(u) \cap \text{supp}(v) = \emptyset,$
  - ▶  $(u, v) \in R_5 \Leftrightarrow \langle u, v \rangle = -\frac{1}{2}.$

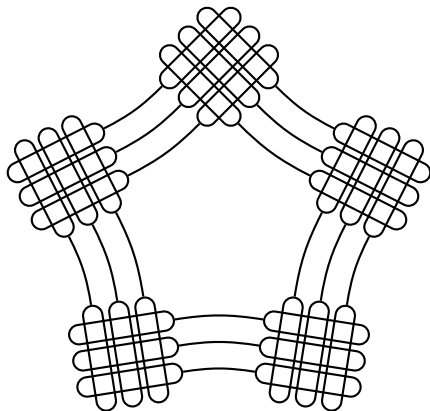
# QPG with array $[[6, 4, 4, 12, 18], [3, 0, 0, 1; 0, 1, 0; 2, 0; 2]]$

- ▶  $\mathcal{A}$  has 45 vertices and is imprimitive with  $\tilde{0} = \{0, 2, 3\}$ ,  $\tilde{1} = \{1, 4\}$ ,  $\tilde{5} = \{5\}$ .
- ▶ The five subschemes are isomorphic to the Hamming scheme  $H(2, 3)$ , and the quotient scheme is the cyclic scheme  $C_5$ .
- ▶ The graphs  $\Gamma_1|_{X_\ell \cup X_{\ell'}}$  are isomorphic to  $3K_{3,3}$ .
- ▶ There is a unique scheme  $\mathcal{A}|_{X_1 \cup X_2 \cup X_3}$  with  $(X_1, X_2) \in \tilde{R}_{\tilde{1}}$  and  $(X_1, X_3), (X_2, X_3) \in \tilde{R}_{\tilde{5}}$ , and it admits an embedding into  $S_1$ .
- ▶ The resulting matrix of coefficients has full column rank, and we find 36 more vectors among 72 candidates.

# Construction

- ▶ We find two subsets of 18 vectors extending  $\mathcal{A}|_{X_1 \cup X_2 \cup X_3}$  into an association scheme with the parameters of  $\mathcal{A}$ .
- ▶ Since the two obtained schemes are isomorphic, we conclude that there is, up to isomorphism, precisely one such association scheme.

## The obtained association scheme



The graph  $\Gamma_1$  is the Praeger-Xu graph  $C(3, 5, 2)$  [PX89].

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