

# Critical $(P_3 + \ell P_1)$ -free graphs

Chính T Hoàng

Department of Physics and Computer Science  
Wilfrid Laurier University (Canada)

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(Joint work with Tala Abuadas, Ben Cameron and Joe Sawada)

# k-critical graphs

## Definition

A graph  $G$  is  $k$ -critical if  $G$  is  $k$ -chromatic but  $G - x$  is  $(k - 1)$ -colorable for any vertex  $x$  of  $G$ .

## Problem

*For a class  $\mathcal{F}$  of graphs, is the number of  $k$ -critical graphs in  $\mathcal{F}$  finite, for some given  $k$ ?*

If the answer is yes, then we can  $k$ -color the graphs in  $\mathcal{F}$  in poly-time.

# H-Free Graphs

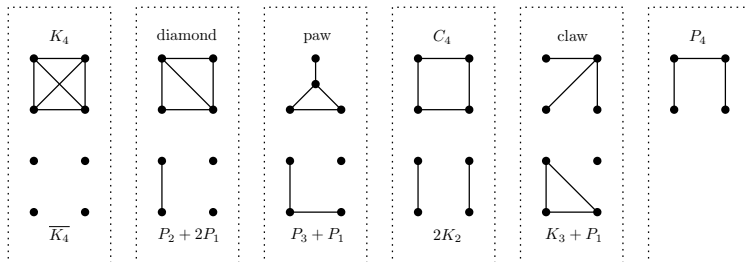
## Definition

For a graph  $H$ , a graph  $G$  is  $H$ -free, when  $G$  **does not contain**  $H$  as an **induced** subgraph.

## Definition

For a set  $\mathcal{F}$  of graphs, a graph  $G$  is  $\mathcal{F}$ -free, when  $G$  **does not contain** any graph of  $\mathcal{F}$  as an **induced** subgraph.

# All graphs on four vertices



# Complexity of coloring $P_5$ graphs



Theorem (Kral, Kratochvil, Tuza, Woeginger 2001)

*Coloring  $(2K_2, \text{co-diamond}, 4K_1, C_5)$ -free graphs is NP-hard*

This implies it is NP-hard to color the following classes:

- graphs with no holes of length at least five
- odd-hole-free graphs
- $P_5$ -free graphs

What about  $k$ -coloring  $P_5$ -free graphs for a fixed  $k \geq 3$ ?

# 3-coloring $P_5$ -free graphs

Theorem (Woeginger and Sgall 2001)

*There is a polynomial time algorithm to 3-color a  $P_5$ -free graphs, or to show that no 3-coloring exists.*

Theorem (Daniel Bruce, CTH, Joe Sawada 2009)

*The number of 4-critical  $P_5$ -free graphs is finite.*



What about 5-critical  $P_5$ -free graphs?

# $k$ -colorability of $P_5$ -free graphs 1

Theorem (CTH, Kaminski, Lozin, Sawada, Shu 2010)

*For every  $k \geq 4$ ,  $k$ -colorability of  $P_5$ -free graphs can be solved in poly time.*

## $k$ -critical $P_5$ -free graphs

Theorem (CTH, Moore, Recoskie, Sawada, Vatshelle 2014)

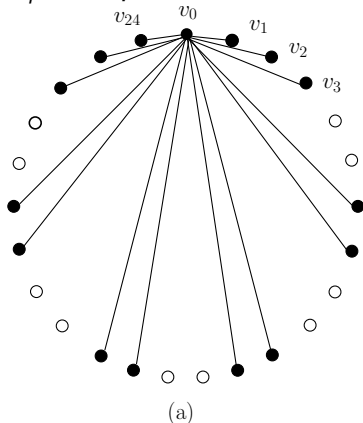
*For every  $k \geq 5$ , the number of  $k$ -critical  $2K_2$ -free graphs is **infinite**.*

Note the  $k = 4$  gap. We'll talk about it later.

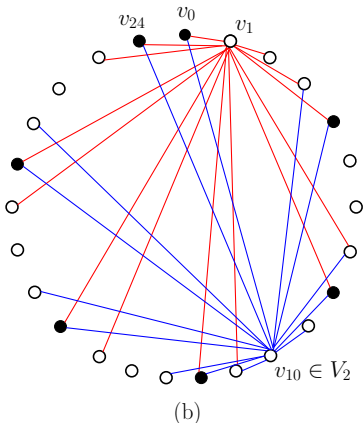


# Constructing 5-critical $2K_2$ -free graphs

$G_p$  has  $4p + 1$  vertices.



$p = 6$



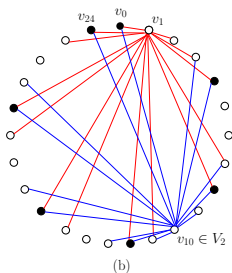
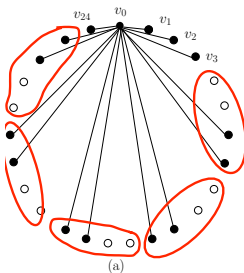
# Constructing 5-critical $2K_2$ -free graphs

$G_p$  has  $4p+1$  vertices

To increase  $p$  by 1, add one interval

We can make a graph with arbitrarily large  $\alpha$  and  $\omega = 4$ .

Adding an universal vertex, we get arbitrarily large  $\omega$



# Some properties of $k$ -critical graphs

## Theorem (Erdos 1959)

*If  $H$  contains a cycle, then for all  $k \geq 3$ , there are an infinite number of  $H$ -free  $k$ -critical graphs.*

## Theorem (Lazebnik Ustimenko 1995)

*If  $H$  contains a claw, then for all  $k \geq 3$ , there are an infinite number of  $H$ -free  $k$ -critical graphs.*

## Theorem (Chudnovsky, Goedgebeur, Schaudt and Zhong 2016)

*Let  $H$  be a graph. There are only finitely many  $H$ -free 4-vertex-critical graphs if and only if  $H$  is an induced subgraph of  $P_6$ ,  $2P_3$ , or  $P_4 + kP_1$  for some  $k \in \mathbb{N}$ .*

# A question on $H$ -free $k$ -critical graphs

## Problem

*For which graphs  $H$  are there a finite number of  $k$ -critical  $H$ -free graphs for all  $k \geq 5$ ?*

$H$  cannot contain a cycle, or a claw, or a  $2K_2$ .

$H$  must be, for a constant  $\ell$

- $P_2 + \ell K_1$ , or
- $P_3 + \ell K_1$ , or
- $P_4 + \ell K_1$ , or

The first two cases were solved.

# The graphs $P_2 + \ell P_1$ and $P_3 + \ell P_1$

Theorem (Ben Cameron, CTH, Joe Sawada 2020)

*All all  $\ell \geq 0$  and  $k$ , the number of  $k$ -critical  $(P_2 + \ell P_1)$ -free graph is finite.*

Theorem (Ben Cameron, CTH, Joe Sawada 2022)

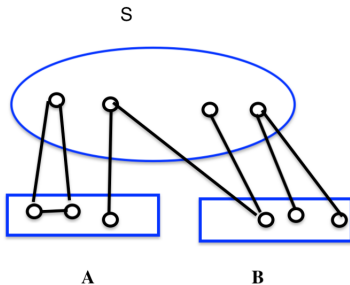
*All all  $\ell \geq 0$  and  $k$ , the number of  $k$ -critical  $(P_3 + \ell P_1)$ -free graph is finite.*

# Proof of $(P_3 + \ell P_1)$ -free graphs

Outline of the proof:

- Let  $G$  be a  $k$ -critical graph.
- We know  $\omega(G) \leq k$  because  $\chi(G) \geq \omega(G)$ .
- We will prove  $\alpha(G)$  is bounded.
- By Ramsey theorem we are done, because  $|V(G)| \leq R(\alpha + 1, \omega + 1)$ .

# Proof



Take  $S$  to be max stable set

Want to prove  $|S| < k^2(l + 3)$

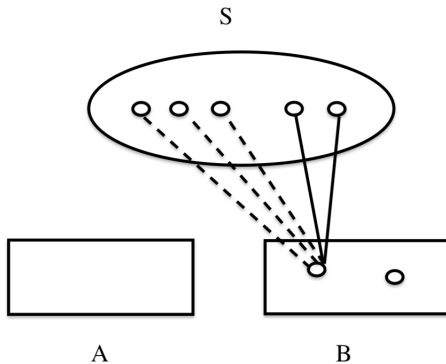
Assume to contrary

$A$  = vertices in  $G - S$  with exactly one neighbor in  $S$

$B$  = vertices in  $G - S$  with at least two neighbors in  $S$

$$A + B + S = V(G)$$

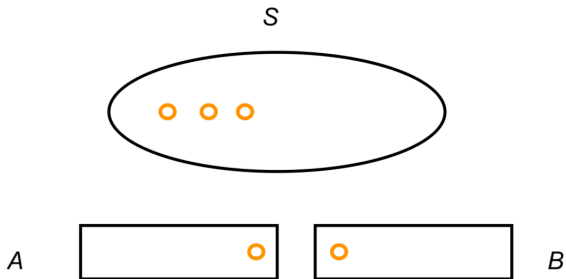
# Observation 1



*If some  $v$  in  $B$  is non-adjacent to at least  $l$  vertices in  $S$  then we have a  $P_{3+l}$*



## Observation 2

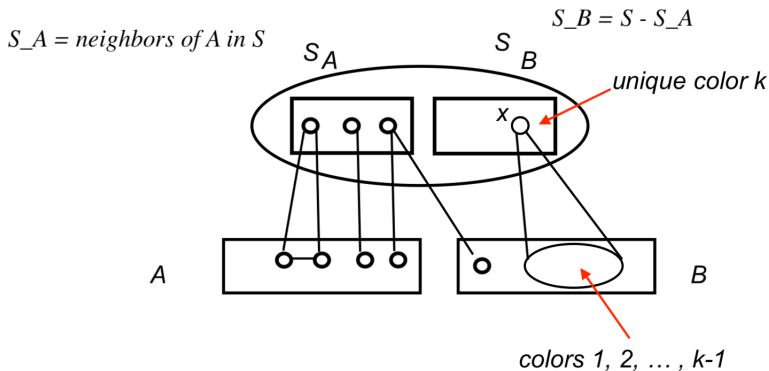


*A color class is big if it has  $\geq k(l+3)$  vertices in  $S$*

*In a  $k$ -coloring, some color class is big*

*If a color appears in  $B$ , it cannot belong to a big color class*

# Step 3

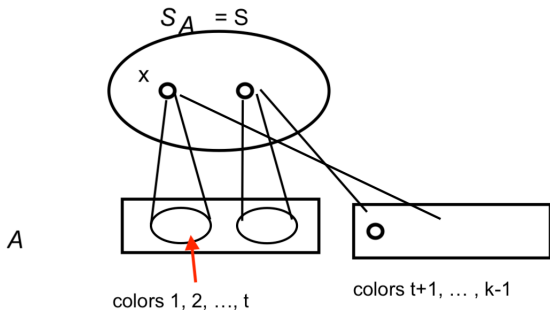


*Claim:  $S_B$  is empty*

**Proof:**

Remove  $x$  in  $S_B$ , color  $G-x$  with  $k-1$  colors, these appear in  $B$ , one of the color is big

## Step 4



Take  $x$  in  $S_A$  with smallest degree in  $A$

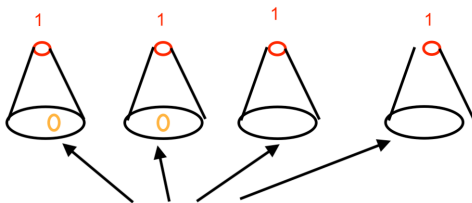
$x_A$  is a clique (neighbors of  $x$  in  $A$ )

There is a  $k$ -coloring of  $G$  such that  $x$  is the only vertex with color  $k$  and colors  $1, 2, \dots, k-1$  appear in  $N(x)$

Colors  $t+1, \dots, k-1$  are small

WLOG, assume color 1 is big

# Step 5

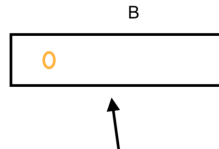


A color not in  $\{1, 2, \dots, t\}$ , because the clique has order  $t+1$

A color in  $\{t+1, \dots, k-1\}$  appears in each of the cliques

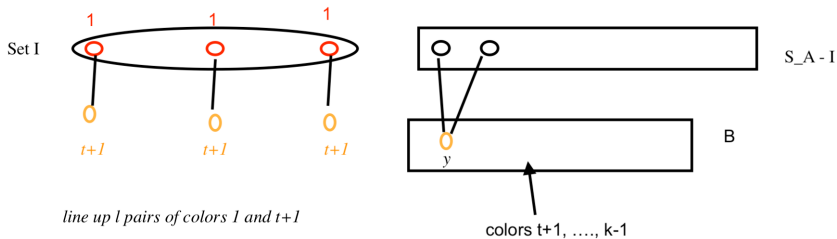
There are  $k(l+3)$  cliques

Some color, say  $t+1$ , appears at least  $l$  times in the cliques



colors  $t+1, \dots, k-1$

# Step 6



The set  $S_A - I$  has  $k^2(l+3) - l > l$  vertices

Vertex  $y$  cannot miss  $l$  vertices in  $S_A - I$  so it sees at least two vertices

There is a  $P_3 + l P_1$

# Open problems

## Problem

*Are there a finite number of  $k$ -critical  $(P_4 + P_1)$ -free graphs, for all  $k \geq 5$ ?*

## Problem

*For which values of  $k \geq 5$  and  $\ell \geq 2$  is there a finite number of  $k$ -critical  $(P_4 + \ell P_1)$ -free graphs?*

## co-gem-free graphs

The graph  $P_4 + P_1$  is called a co-gem.

The problem is open for co-gem-free graphs.

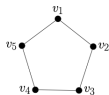
**Theorem (Ben Cameron, CTH, Joe Sawada)**

*There is a finite number of  $k$ -critical (gem, co-gem)-free graphs for  $k \geq 5$ .*

This uses a theorem of Karthick and Maffray.

**Theorem (T. Karthick, F. Maffray)**

*If  $G$  is (gem, co-gem)-free, then  $G$  is perfect or a  $P_4$ - or clique-expansion of a finite number of finite graphs.*



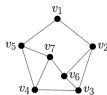
(a)  $G_1$



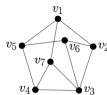
(b)  $G_2$



(c)  $G_3$



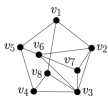
(d)  $G_4$



(e)  $G_5$



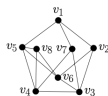
(f)  $G_6$



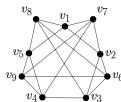
(g)  $G_7$



(h)  $G_8$



(i)  $G_9$



(j)  $G_{10}$

## Theorem (T. Karthick, F. Maffray)

*If  $G$  is (gem, co-gem)-free, then  $G$  is perfect, or a  $P_4$ - or clique-expansion of 10 graphs, or  $G$  belongs to a family of special graphs.*



Thank you for your attention