

# Combinatorial Methods in Group Theory (and Group-theoretic Methods in Combinatorics)

PhD Summer School, Rogla, July 2019

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## Outline of topics

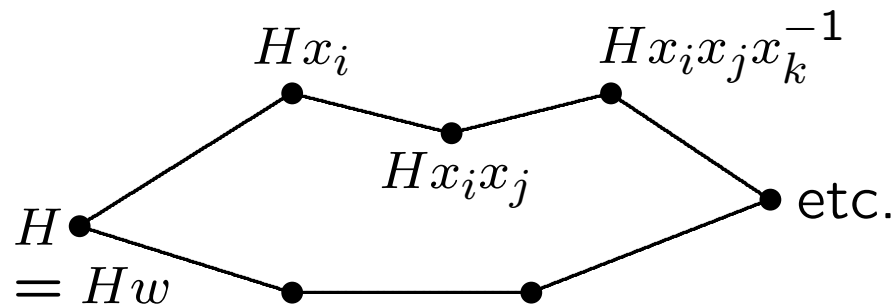
1. Basic applications of counting
2. Methods for generating random elements of a group
3. Cayley graphs
4. Schreier coset graphs and their applications
5. Back-track search to find small index subgroups
6. Double-coset graphs and some applications
7. Möbius inversion on lattices and applications

Copies of slides can be made available by email or USB stick.

## Further properties of the Schreier coset graph $\Sigma(G, X, H)$

First, recall that every circuit in  $\Sigma$  based at the vertex  $H$  gives an element of the subgroup  $H$ , written as a word on  $X$ .

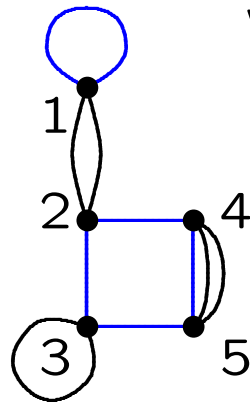
Why? Any walk in  $\Sigma$  corresponds to a word  $w = w(X)$  in the generators of  $G$ , and such a path from  $H$  is closed whenever  $Hw = H$ , which occurs if and only if  $w \in H$ .



It follows that a set of generators for  $H$  can be created from the circuits in  $\Sigma$  based at the vertex labelled  $H$ .

Why? On one hand, all of the words coming from those circuits are elements of  $H$ . On the other hand, specifying all of them is enough to define the graph  $\Sigma$ , and hence the action on  $G$  on cosets, and hence  $H$  (as point-stabiliser).

e.g. with  $x \mapsto (1, 2)(4, 5)$  and  $y \mapsto (2, 3, 5, 4)$



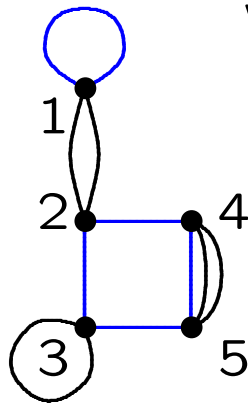
gives  $H = \langle y, x^2, xyxy^{-1}x^{-1}, xy^4x^{-1}, xy^{-1}xy^{-2}x, xy^2xyx \rangle$ .

Q: How/when do we know that we have enough generators?

## Reidemeister-Schreier Theory (when $|G:H|$ is finite)

First, a **Schreier transversal** for  $H$  in  $G$  is defined as a complete set  $T = \{w_1, w_2, \dots, w_n\}$  of representatives of the  $n = |G:H|$  right cosets  $Hg$  of  $H$ , each expressed as a word in the generating set  $X$ , with the **Schreier property** that for each  $w \in T$ , every 'initial sub-word' of  $w$  also lies in  $T$ .

e.g. with  $x \mapsto (1, 2)(4, 5)$  and  $y \mapsto (2, 3, 5, 4)$



gives  $T = \{1, x, xy, xy^{-1}, xy^2\}$  as a Schreier transversal.

Generally, a Schreier transversal for  $H$  in  $G$  corresponds to a spanning tree for the coset graph  $\Sigma$ .

**Why?**

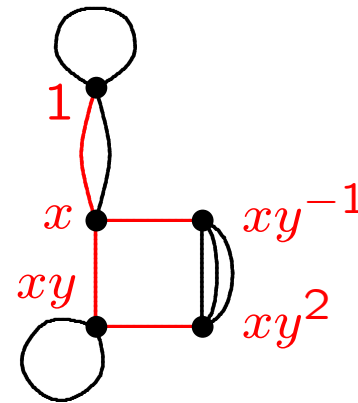
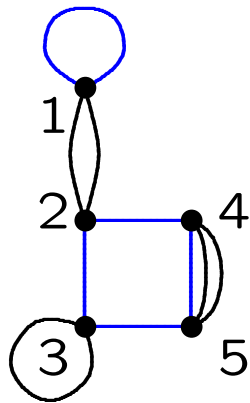
In any spanning tree  $T$  for  $\Sigma$ , we can label each vertex  $v$  with the product of the elements of  $X$  that label the edges of a path from 1 to  $v$  in  $T$ . The resulting  $n$  words form a transversal for  $H$  in  $G$  with the Schreier property, since any 'initial sub-word' of any one of them traces an initial sub-path from 1 to some vertex  $u$  of the corresponding path.

**Exercise:** Convince yourself that the converse is also true.

**Example** (as before)

Perm. representation  $x \mapsto (1, 2)(4, 5)$  and  $y \mapsto (2, 3, 5, 4)$

Schreier transversal  $T = \{1, x, xy, xy^{-1}, xy^2\}$



Check: Every 'initial sub-word' of each  $w \in T$  also lies in  $T$

## Reidemeister-Schreier Theory (cont.)

Next, suppose  $T$  is a Schreier transversal for  $H$  in  $G$ , and for every element  $g \in G$ , denote by  $\bar{g}$  the representative in  $T$  of the (right) coset  $Hg$ . In other words,  $\bar{g}$  is the unique element of  $T$  for which  $Hg = H\bar{g}$ .

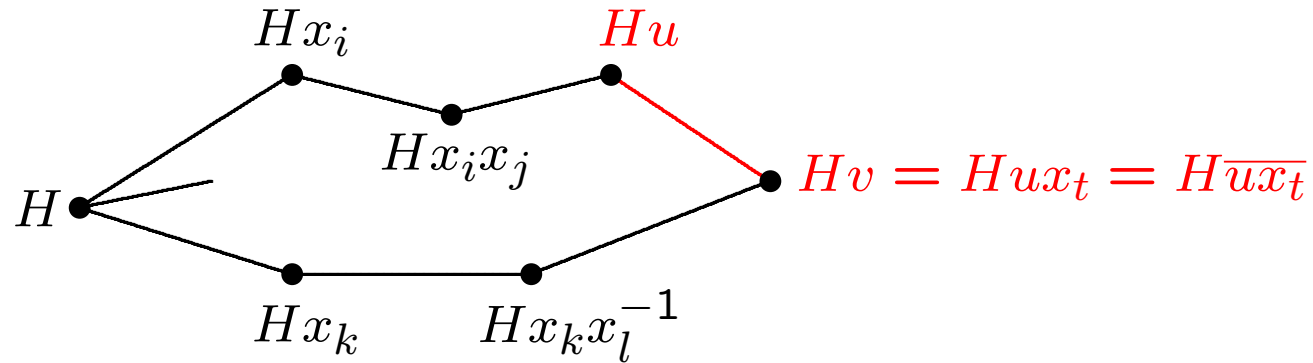
**Schreier's Subgroup Lemma:** The subgroup  $H$  is generated by the set  $\{ux(\bar{ux})^{-1} : u \in T, x \in X\}$ .

*Sketch proof.* First, each of the elements  $ux(\bar{ux})^{-1}$  lies in  $H$ , because  $Hux = H\bar{ux}$  for all  $u \in T, x \in X$ .

Indeed the  $x$ -edge from vertex  $Hu$  to vertex  $Hux$  creates a circuit in  $\Sigma$  based at vertex  $H$ , running from  $H$  to  $Hu$  along edges of  $T$ , then across to  $Hux (= H\bar{ux})$ , and back to  $H$  along edges of  $T$  again.



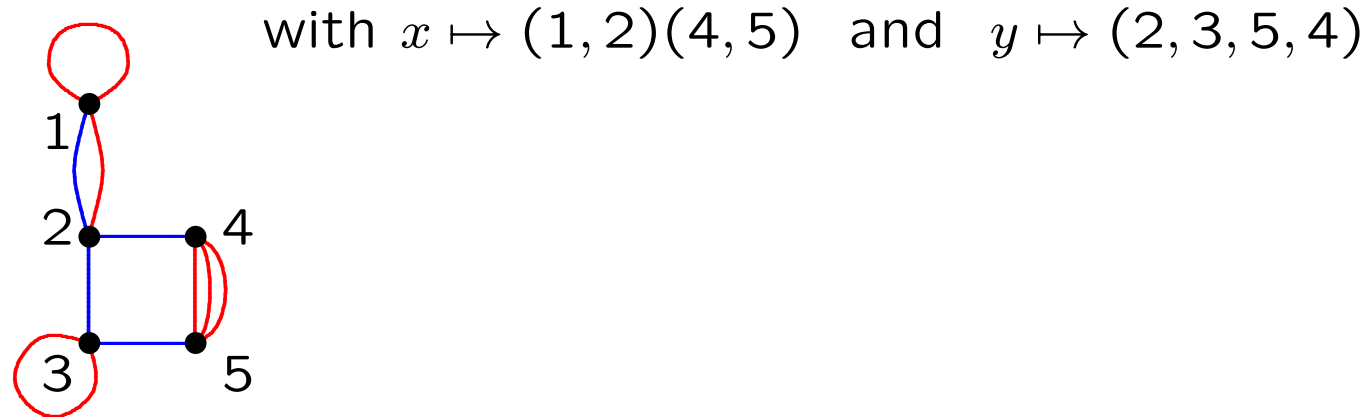
Conversely, every edge of  $\Sigma$  not in  $T$  has this form.



Hence these ‘Schreier edges’ are enough to add back all the edges of  $\Sigma$ , completing  $\Sigma$ , and determining  $H$  as the stabiliser of the vertex ‘ $H$ ’ in the corresponding permutation representation of  $G$  (of degree  $n = |G:H|$ ).

**Summary:** A Schreier generating-set for  $H$  in  $G$  corresponds to edges of the coset graph not used in the spanning tree.

## Example



A **spanning tree** has  $5 - 1 = 4$  edges; for example, the edges  $\{1, 2\}$ ,  $\{2, 3\}$ ,  $\{2, 4\}$  and  $\{4, 5\}$  giving  $\{1, x, xy, xy^{-1}, xy^2\}$  as a Schreier transversal. The total number of edges is 10, so  $10 - 4 = 6$  of them do not lie in the spanning tree.

These give the six non-trivial **Schreier generators**  $y$ ,  $x^2$ ,  $xyxy^{-1}x^{-1}$ ,  $xy^4x^{-1}$ ,  $xy^{-1}xy^{-2}x^{-1}$  and  $xy^2xyx^{-1}$  for  $H$ .

**Corollary:** Every subgroup of index  $n$  in a  $k$ -generator group can be generated by at most  $nk - n + 1$  elements.

*Proof.* The coset graph has up to  $nk$  edges, and  $n - 1$  of these are used in any spanning tree, so **there remain at most  $nk - (n - 1)$  edges** for the non-trivial Schreier generators. ■

In fact, for a **free group** (which has no non-trivial relations), this bound is attained: **every subgroup of index  $n$  in a free group of rank  $k$  is free of rank  $nk - n + 1$ .** [See later]

## Further application: the Reidemeister-Schreier process

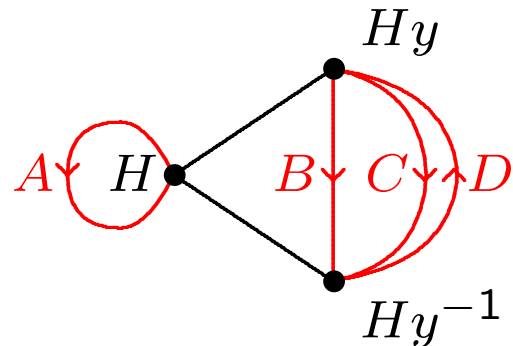
Given a finitely-presented group  $G = \langle X | R \rangle$ , where  $X$  is the set of generators and  $R$  is the set of defining relations, and a subgroup  $H$  of finite index in  $G$ , how do we find a presentation for  $H$  (in terms of generators and relations)?

This can be done using further Reidemeister-Schreier theory, but the theory is very algebraic and can be difficult to follow. It is easier to explain (and implement) using coset graphs:

- 1) Construct the coset graph  $\Sigma$  – using the coset table
- 2) Use a spanning tree to give a Schreier transversal
- 3) Label the unused edges with Schreier generators
- 4) Trace each of the relators from  $R$  around the coset graph, starting from each of the vertices in turn, to obtain the Reidemeister-Schreier relations for  $H$ .

## Example 1:

Let  $G = \langle x, y \mid x^2 = y^3 = 1 \rangle$ , and let  $H$  be the stabilizer of 1 in the permutation representation  $x \mapsto (2, 3)$ ,  $y \mapsto (1, 2, 3)$ :



### Schreier generators

$$A = x$$

$$B = y^3 (= 1)$$

$$C = yxy$$

$$D = y^{-1}xy^{-1} (= C^{-1})$$

Relation  $x^2 = 1$  gives new relations  $A^2 = 1$  and  $CD = 1$

Relation  $y^3 = 1$  gives new relation  $B = 1$

So  $H$  has presentation  $\langle A, C \mid A^2 = 1 \rangle$  via  $(A, C) = (x, yxy)$ .

## Example 2:

Let  $F_k = \langle x_1, x_2, \dots, x_k \mid - \rangle$ , the free group of rank  $k$ , and let  $H$  be any subgroup of index  $n$  in  $F_k$ .

Any spanning tree (with  $n - 1$  edges) in the Schreier coset graph  $\Sigma(F_k, X, H)$  gives a Schreier transversal for  $H$  in  $F_k$ , and  $nk - n + 1$  Schreier generators. And then since  $F_n$  is free (which means that it has no non-trivial relations), the Reidemeister-Schreier process gives no relations for  $H$ .

Hence  $H$  is a free group of rank  $nk - n + 1$ .

In particular, every subgroup of finite index in a finitely-generated free group is free.

## Another application: the **Ree-Singerman theorem**

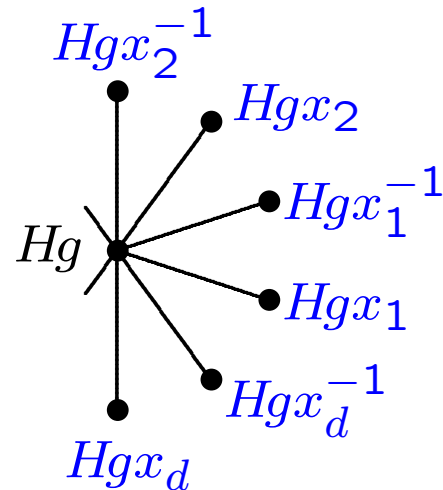
**Theorem:** Let  $G$  be any group generated by permutations  $x_1, x_2, \dots, x_d$  on a set  $\Omega$  of size  $n$ , such that  $x_1 x_2 \dots x_d = 1$  (identity), and let  $c_i$  be the number of orbits of  $\langle x_i \rangle$  on  $\Omega$ .  
If  $G$  is transitive on  $\Omega$ , then  $c_1 + c_2 + \dots + c_d \leq (d-2)n + 2$ .

Note that if  $d = 2$  then  $x_2 = x_1^{-1}$ , and the theorem gives  $c_1 + c_2 \leq 2$ , so  $c_1 = c_2 = 1$ , making  $x_1$  a single  $n$ -cycle.

The case  $d = 3$  was proved by Singerman (1970), and **the general case ( $d \geq 2$ ) was proved by Ree in 1971**, using the theory of Riemann surfaces.

But: **it can be proved easily using coset diagrams.**

*Proof.* Embed the coset graph for the given permutation representation in an orientable surface with the edges to neighbours at each vertex  $Hg$  ordered naturally as follows:



Here  $|V| = n$  and  $|E| = nd$ , and we have

- (1) a face bounded by  $x_i$ -edges for every cycle of each  $x_i$
- (2) a face bounded by edges  $x_1, x_2, \dots, x_d$  at each vertex,

and so  $|F| = \sum_{1 \leq i \leq d} c_i + n$ .



If the permutation representation is **transitive**, then the coset graph is **connected**, and then the **Euler characteristic**  $\chi$  of the surface into which the graph has been embedded is given by the Euler-Poincaré formula:

$$\chi = |V| - |E| + |F| = n - nd + \sum_{1 \leq i \leq d} c_i + n.$$

But  $\chi \leq 2$  for every orientable surface, and so from the above equation(s) it follows that

$$\sum_{1 \leq i \leq d} c_i \leq 2 - 2n + nd = (d - 2)n + 2$$

as required. ■

**Application:** No transitive group of degree 167 can be generated by elements  $x, y, z$  such that  $x^2 = y^3 = z^7 = xyz = 1$ .

**Why not?** Assume that there **is** such a group. Let  $c_x, c_y$  and  $c_z$  be the number of cycles of  $x, y$  and  $z$  respectively.

Then the smallest possible number of cycles of  $z$  occurs when  $z$  has  $\lfloor \frac{167}{7} \rfloor = 23$  cycles of length 7 and  $167 - 23 \cdot 7 = 6$  fixed points, so  $c_z \geq 29$ . Similarly  $c_y \geq 55 + 2 = 57$  and  $c_x \geq 83 + 1 = 84$  (tho we can improve this to  $c_x \geq 82 + 3 = 85$  because  $x = (yz)^{-1}$  is an even permutation).

But now  $c_x + c_y + c_z \geq 84 + 57 + 29 = 170$  while on the other hand  $(d - 2)n + 2 = n + 2 = 169$ , contradicting the Ree-Singerman theorem. ■

## Summary:

### Schreier coset graphs

- depict transitive actions of groups
- may help build large quotients of a group from small ones
- can be used to prove certain groups are infinite
- illustrate/assist the Reidemeister-Schreier process
- help prove the Ree-Singerman theorem.

## Next:

How do we find good examples in the first place?

## §5. Back-track search for subgroups

Let  $G$  be a group generated by  $X = \{x_1, x_2, \dots, x_m\}$ .

Recall that right multiplication of right cosets of a subgroup  $H$  in  $G$  by elements of  $X \cup X^{-1}$  can be depicted by a **coset table** like this:

	$x_1$	$x_2$	$\dots$	$x_1^{-1}$	$x_2^{-1}$	$\dots$
1	2	3		4		
2				1		
3					1	
4	1					
$\vdots$						

Subgroups of index  $\leq n$  in  $G$  can be found (up to conjugacy) by a systematic enumeration of coset tables with  $\leq n$  rows.

## The low index subgroups algorithm

- Given  $G = \langle X \mid R \rangle$  finitely-presented group
- Algorithm (due to Dietze & Schaps and Sims, in 1970s) finds a representative of each conjugacy class of **subgroups** of index  $\leq n$  (for given  $n$ ) in  $G$
- Backtrack search through a tree, with nodes at level  $k$  corresponding to  $k$ -generator (pseudo-)subgroups  $H$
- Enumeration (by Todd-Coxeter) of cosets of  $H$
- Create branches to new nodes at the next level (if necessary) by identifying pairs of cosets: **forcing  $Hg_i = Hg_j$  is equivalent to adding  $g_i g_j^{-1}$  to a set of generators for  $H$**

## Low index subgroups algorithm (cont.)

- Output includes generators for the subgroup  $H$ , and/or permutations induced by generators (in  $X$ ) on cosets of  $H$
- Schreier's theorem ensures that every subgroup of given index  $m$  in  $G = \langle X \mid R \rangle$  is finitely-generated (so will be found)
- Conjugates of subgroups found earlier may be eliminated easily (by a test on the coset table)
- This can be facilitated by normal ordering of cosets in the coset table – where each 'new' coset is labelled with the smallest unused positive integer
- Example: (PTO)

	$x_1$	$x_2$	$x_3$	$x_1^{-1}$	$x_2^{-1}$	$x_3^{-1}$
1	2	3	4	5		
2				1		
3					1	
4						1
5	1					
:						

Cosets 1:  $H$   
 2:  $Hx_1$   
 3:  $Hx_2$   
 4:  $Hx_3$   
 5:  $Hx_1^{-1}$

Transversal  $\{1, x_1, x_2, x_3, x_1^{-1}, \dots\}$

Processing: e.g.  $1x_1^{-1} = 5 \Rightarrow 5x_1 = 1$

## Forcing coincidences

The key to the standard ‘Low index subgroups algorithm’ is to **define more than  $n$  cosets, and then force coincidences between them**, using the fact that  $Ha = Hb \Leftrightarrow ab^{-1} \in H$ .

The algorithm starts with the identity subgroup and attempts to enumerate its right cosets, constructing a partial transversal  $\{u_1, u_2, u_3, \dots\}$ . Then (or at any stage) if more than  $n$  cosets are defined, **all possible coincidences between two cosets  $Hu_i$  and  $Hu_j$  are considered**, for  $1 \leq i < j \leq n+1$ .

Often such a coincidence is found to produce a subgroup  $H$  that is conjugate to one found previously, in which case that coincidence is rejected and the next one is looked at.

If not rejected, then  **$u_i u_j^{-1}$  is added to a (partial) set of generators for  $H$**  and the search continues:



	$x_1$	$x_2$	$x_3$	$x_1^{-1}$	$x_2^{-1}$	$x_3^{-1}$
1	2	3	4	5		
2				1		
3					1	
4						1
5	1					
:						

Level    Coincidence    Additional generator

1

1 = 2

$x_1^{-1}$

1 = 3

$x_2^{-1}$

2 = 3

$x_1 x_2^{-1}$

:

:

1 = 5

$x_1$

2 = 5

$x_1^2$

3 = 5

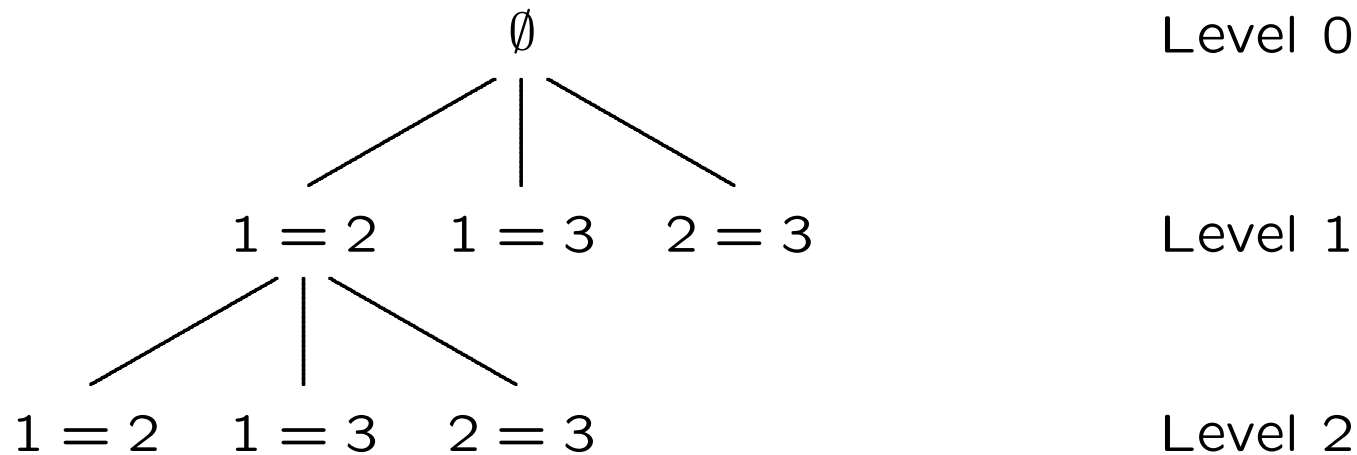
$x_2 x_1$

:

:

## Branching/backtrack process

Systematic enumeration of coincidences between cosets (and adding new generators for  $H$ ) sets up a **branching process**:



A **backtrack search will terminate** (given sufficient time and memory), by Schreier's theorem: every subgroup of finite index in a finitely-generated group is itself finitely-generated.

## Example:

Let  $G = \langle x, y \mid x^2 = y^3 = 1 \rangle$ , which is the **modular group**, and **look for subgroups of index up to 4**. We get these:

#	Coincidences	Index	Generators
1	$1 = 2, 1 = 2$	1	$x, y$
2	$1 = 2, 2 = 4, 3 = 4$	3	$x, yxy^{-1}, y^{-1}xy$
3	$1 = 2, 2 = 4, 4 = 5$	4	$x, yxy^{-1}, y^{-1}xy^{-1}xy$
4	$1 = 2, 3 = 4$	3	$x, y^{-1}xy^{-1}$
5	$1 = 3, 2 = 3$	2	$y^{-1}, xy^{-1}x$
6	$1 = 3, 4 = 5$	4	$y^{-1}, xy^{-1}xy^{-1}x$

## Low index **normal** subgroups

Small homomorphic images of a finitely-presented group  $G$  can be found as the groups of permutations induced by  $G$  on cosets of subgroups of small index. This gives  $G/K$  where  $K$  is the core of  $H$ , but produces only images that have small degree faithful permutation representations.

Alternatively, the (standard) low index subgroups method can be adapted to produce only normal subgroups.

A new method was developed 14 years ago by Derek Holt and his student, which systematically enumerates the possibilities for the composition series of the factor group  $G/K$ , for any normal subgroup  $K$  of small index in  $G$ .

This method has produced the automorphism groups of lots of symmetric structures (incl. graphs, maps & polytopes).

## Summary:

- Standard 'Low Index Subgroups' algorithm
- New variant for finding **normal subgroups only**
- These two methods can help find **all small degree transitive permutation representations** and **all small quotients** of a given finitely-presented group.

## Low index subgroup methods

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