

# Three Open Problems

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# Problem 1

The first open problem gives a potential characterization of the  **$Q$ -polynomial symmetric association schemes**.

**Motivation.** Assume that  $\Gamma = (X, \{R_i\}_{i=0}^d)$  is a symmetric association scheme that is  $Q$ -polynomial with respect to the ordering  $\{E_i\}_{i=0}^d$  of the primitive idempotents.

Let  $V = \mathbb{C}X$  denote the **standard module** of  $\Gamma$ .

## Problem 1 motivation, cont.

Abbreviate

$$V_i = E_i V \quad (0 \leq i \leq d).$$

For  $0 \leq n \leq d$  define

$$V_1^{\circ n} = \text{Span}(V_1 \circ V_1 \circ \cdots \circ V_1) \quad (n \text{ copies})$$

where  $\circ$  is **entry-wise multiplication**.

We interpret  $V_1^{\circ 0} = \text{Span}\{\mathbf{1}\}$ , where  $\mathbf{1}$  is the all-ones vector in  $V$ .

## Problem 1 motivation, cont.

Since the ordering  $\{E_i\}_{i=0}^d$  is  $Q$ -polynomial, we have

$$V_0 + V_1 + \cdots + V_i = V_1^{\circ 0} + V_1^{\circ 1} + \cdots + V_1^{\circ i}$$

for  $0 \leq i \leq d$ .

We now reverse the logical direction...

# Problem 1 statement

## Problem

Let  $\Gamma = (X, \mathcal{R})$  denote an undirected, connected, regular graph, with adjacency matrix  $A$  and standard module  $V$ .

Let  $\{V_i\}_{i=0}^d$  denote an ordering of the eigenspaces of  $A$ .

Assume that

$$V_0 + V_1 + \cdots + V_i = V_1^{o0} + V_1^{o1} + \cdots + V_1^{oi}$$

for  $0 \leq i \leq d$ .

Show that  $A$  generates the **Bose-Mesner algebra of a symmetric association scheme on  $X$**  (or find counterexamples).

## Problem 2

The second open problem is about the **subconstituent algebra of a distance-regular graph**.

Let  $\Gamma = (X, \mathcal{R})$  denote a distance-regular graph, with diameter  $d$ , adjacency matrix  $A$ , and standard module  $V$ .

## Problem 2, cont.

For  $x \in X$  let  $\hat{x}$  denote the vector in  $V$  that has  $x$ -coordinate 1 and all other coordinates 0.

The vectors  $\{\hat{x} | x \in X\}$  form a basis for  $V$ .

### Definition

For  $x \in X$  and  $0 \leq i \leq d$  let  $E_i^* = E_i^*(x)$  denote the diagonal matrix in  $\text{Mat}_X(\mathbb{C})$  with  $(y, y)$ -entry

$$(E_i^*)_{y,y} = \begin{cases} 1 & \text{if } \partial(x, y) = i \\ 0 & \text{if } \partial(x, y) \neq i \end{cases} \quad y \in X.$$



### Definition

For  $x \in X$  let  $T = T(x)$  denote the subalgebra of  $\text{Mat}_X(\mathbb{C})$  generated by  $A$  and  $\{E_i^*\}_{i=0}^d$ .

We call  $T$  the **subconstituent algebra** of  $\Gamma$  with respect to  $x$ .

For the rest of this section, fix distinct  $x, y \in X$ .

### Definition

Let  $T(x, y)$  denote the subalgebra of  $\text{Mat}_X(\mathbb{C})$  generated by  $T(x)$  and  $T(y)$ .

We call  $T(x, y)$  the **subconstituent algebra of  $\Gamma$  with respect to  $x, y$** .

## Problem 2, cont.

The following lemmas are routine.

### Lemma

*The standard module  $V$  is a direct sum of irreducible  $T(x, y)$ -modules.*

### Lemma

*There exists a unique irreducible  $T(x, y)$ -module that contains the all-ones vector  $\mathbf{1}$ . This module is  $T(x, y)\mathbf{1}$ .*

### Lemma

*We have*

$$T(x)\hat{y} \subseteq T(x,y)\mathbf{1}, \quad T(y)\hat{x} \subseteq T(x,y)\mathbf{1}.$$

### Problem

*Assume that  $\Gamma$  is  $Q$ -polynomial. Show that*

$$T(x)\hat{y} = T(x, y)\mathbf{1} = T(y)\hat{x}.$$

*More generally, decompose  $T(x, y)\mathbf{1}$  into a direct sum of irreducible  $T(x)$ -modules and a direct sum of irreducible  $T(y)$ -modules. We expect that in each direct sum, the summands are mutually nonisomorphic.*

## Problem 3

The third open problem is about **some central elements in the subconstituent algebra** of a distance-regular graph.

Let  $\Gamma = (X, \mathcal{R})$  denote a distance-regular graph, with adjacency matrix  $A$  and standard module  $V$ .

Let  $E$  denote a  $Q$ -polynomial primitive idempotent of  $\Gamma$ .

## Problem 3, cont.

Write

$$E = |X|^{-1} \sum_{i=0}^d \theta_i^* A_i$$

where  $A_i$  is the  $i$ th distance matrix of  $\Gamma$ .

The scalars  $\{\theta_i^*\}_{i=0}^d$  form the **dual eigenvalue sequence** of  $\Gamma$  with respect to  $E$ .

For  $x \in X$ , the **dual adjacency matrix**  $A^* = A^*(x)$  is defined by

$$A^* = \sum_{i=0}^d \theta_i^* E_i^*.$$

## Problem 3, cont.

The subconstituent algebra  $T = T(x)$  is generated by  $A, A^*$ .

The matrices  $A, A^*$  satisfy the **tridiagonal relations**

$$0 = [A, A^2 A^* - \beta A A^* A + A^* A^2 - \gamma(A A^* + A^* A) - \varrho A^*],$$

$$0 = [A^*, A^{*2} A - \beta A^* A A^* + A A^{*2} - \gamma^*(A^* A + A A^*) - \varrho^* A],$$

for appropriate real scalars  $\beta, \gamma, \gamma^*, \varrho, \varrho^*$ .



## Problem 3, cont.

We recall the thin condition.

An irreducible  $T$ -module  $W$  is **thin** whenever  $E_i^* W$  has dimension at most one for  $0 \leq i \leq d$ .

In this case,  $E_i W$  has dimension at most one for  $0 \leq i \leq d$ .

## Problem 3, cont.

If  $W$  is thin then on  $W$ ,

$$\begin{aligned}A^2A^* - \beta AA^*A + A^*A^2 - \gamma(AA^* + A^*A) - \varrho A^* \\&= \gamma^*A^2 + \omega A + \eta I, \\A^{*2}A - \beta A^*AA^* + AA^{*2} - \gamma^*(A^*A + AA^*) - \varrho^*A \\&= \gamma A^{*2} + \omega A^* + \eta^* I,\end{aligned}$$

where  $\omega, \eta, \eta^*$  are appropriate real scalars that depend on  $W$ .

These are the **Askey-Wilson relations**.

### Lemma (Worawannotai 2012)

*Assume that every irreducible  $T$ -module is thin. Then there exist central elements  $\Omega, G, G^* \in T$  such that*

$$\begin{aligned} A^2 A^* - \beta A A^* A + A^* A^2 - \gamma (A A^* + A^* A) - \varrho A^* \\ &= \gamma^* A^2 + \Omega A + G, \\ A^{*2} A - \beta A^* A A^* + A A^{*2} - \gamma^* (A^* A + A A^*) - \varrho^* A \\ &= \gamma A^{*2} + \Omega A^* + G^*. \end{aligned}$$

## Problem 3 statement

### Problem

*Assume that every irreducible  $T$ -module is thin.*

- (i) Find the **entries** of  $\Omega, G, G^*$  (for some examples).
- (ii) We conjecture that for  $y, z \in X$  the  $(y, z)$ -entry of  $\Omega, G, G^*$  are all zero unless  $\partial(y, z) \leq 2$ .
- (iii) Find the **combinatorial significance** of  $\Omega, G, G^*$ .

Chalermong Worawannotai (2012) has results about Problem 3 for the **dual polar graphs**.

Ian Seong (2025) has results about Problem 3 for the **Grassmann graph**  $J_q(n, d)$ .

THE END